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# Cross-Layer Interaction for IP Centric Video Applications in MIMO Broadband Wireless Networks

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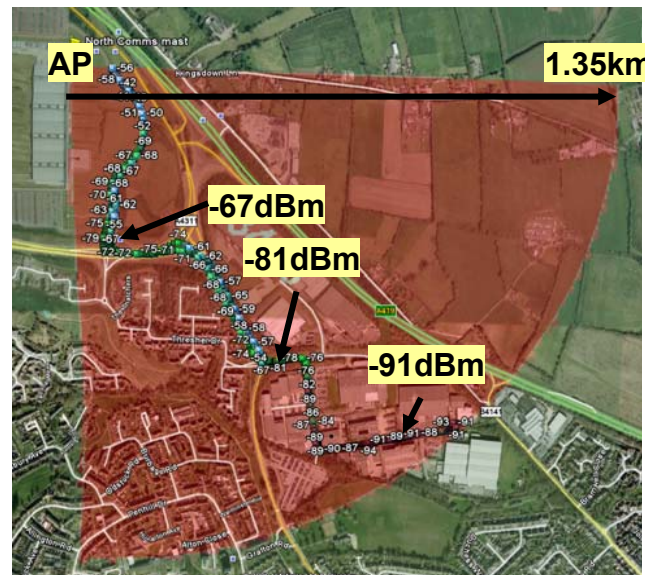
David Halls, Pierre Ferre, George Zaggoulos,  
Andrew Nix and Mark Beach

# Introduction

- SISO mobile WiMAX benchmark.
- MIMO summary.
- MIMO vs. SISO measurement comparison.
- WiMAX simulator validation and EBF extension.
- Exploiting EBF in next generation IP-centric user applications using intelligent scheduling.

# 🔥 SISO WiMAX Benchmark

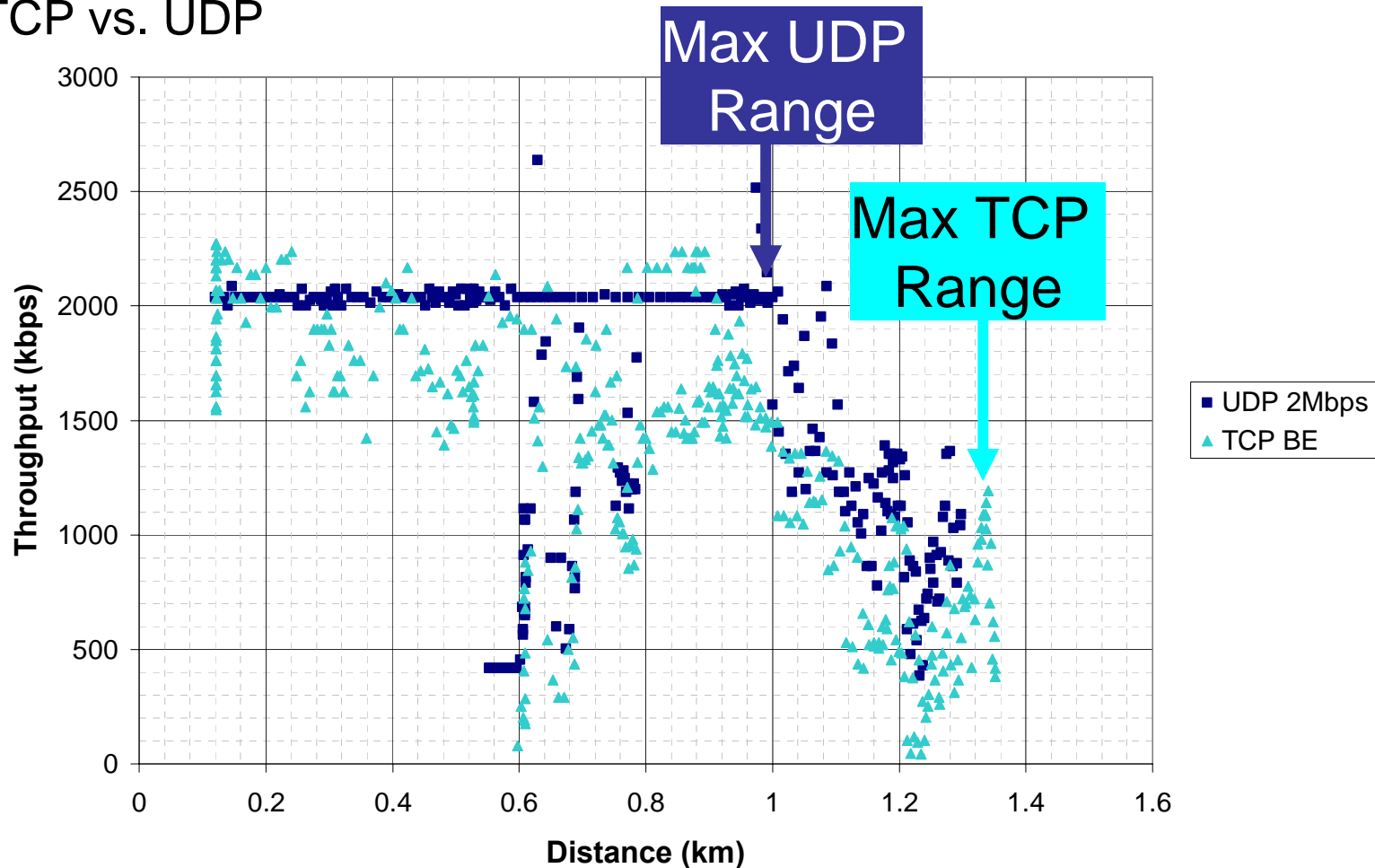
- Single 90° sector, 46.5dBm EIRP, 3.5GHz, 5MHz bandwidth.
- Maximum range 1.35km.
- 2Mbps UDP video reached only 1km, Best Effort (BE) TCP operates up to cell edge.



RSSI plot for high power single sector  
WiMAX system

# WiFi vs. WiMAX (2)

- TCP vs. UDP



Application throughput vs. distance for WiMAX system

- MIMO Techniques
  - STBC (Space Time Block Coding)
  - SM (Spatial Multiplexing) MMSE
  - EBF (Eigen-beamforming)
- EBF with water-filling – maximises capacity.
- EBF requires large overheads due to feed-back.
- Statistical EBF with short-term selection reduces feed-back
  - Feeds back only a pre-selected set of eigen-vectors (long-term) and the appropriate eigen-vector index (short-term).
- This study uses a 4x1 Statistical EBF implementation
  - Average weights for bands of subcarriers are computed using an iterative method equivalent to SVD.



# WiMAX Measurements



32x2 mile round trips!

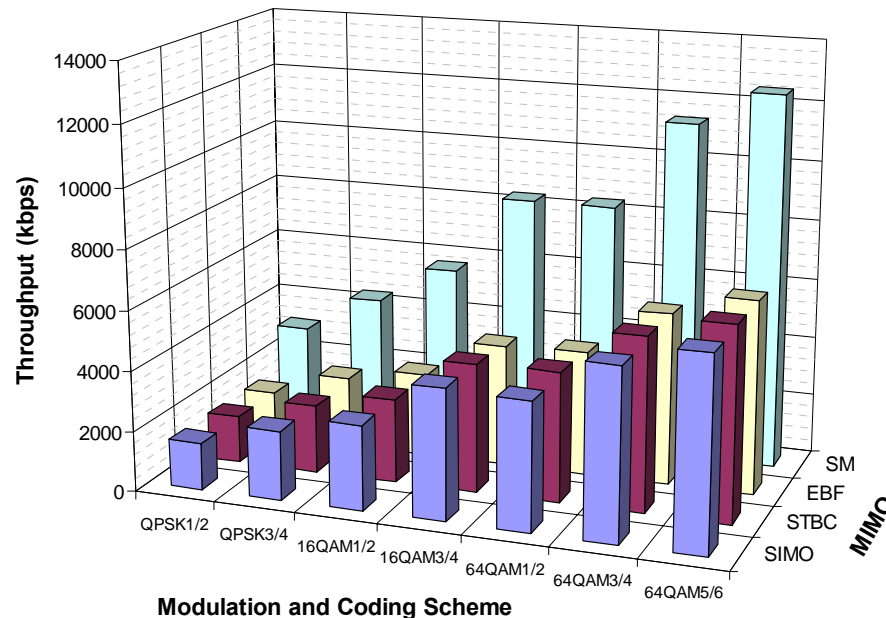


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# 🔥 Measured WiMAX MIMO vs. SIMO

- MIMO vs. SIMO measurements
  - STBC and EBF have throughputs equal to SIMO.
  - SM has 2 x throughput, up to 12.5Mbps in 64QAM 5/6.
  - SM usage using Automatic MIMO Selection (AMS) very dependent on antenna configuration, 81% achieved using Dual Slants at both ends.

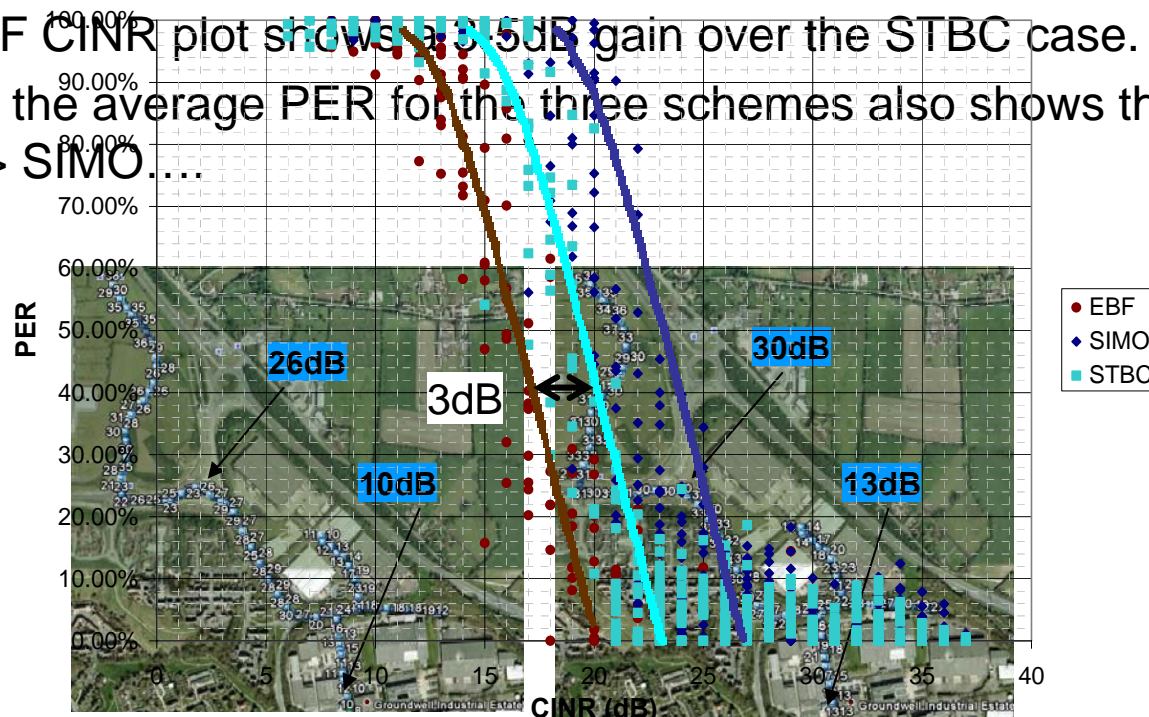


Maximum throughput for each MCS and MIMO scheme



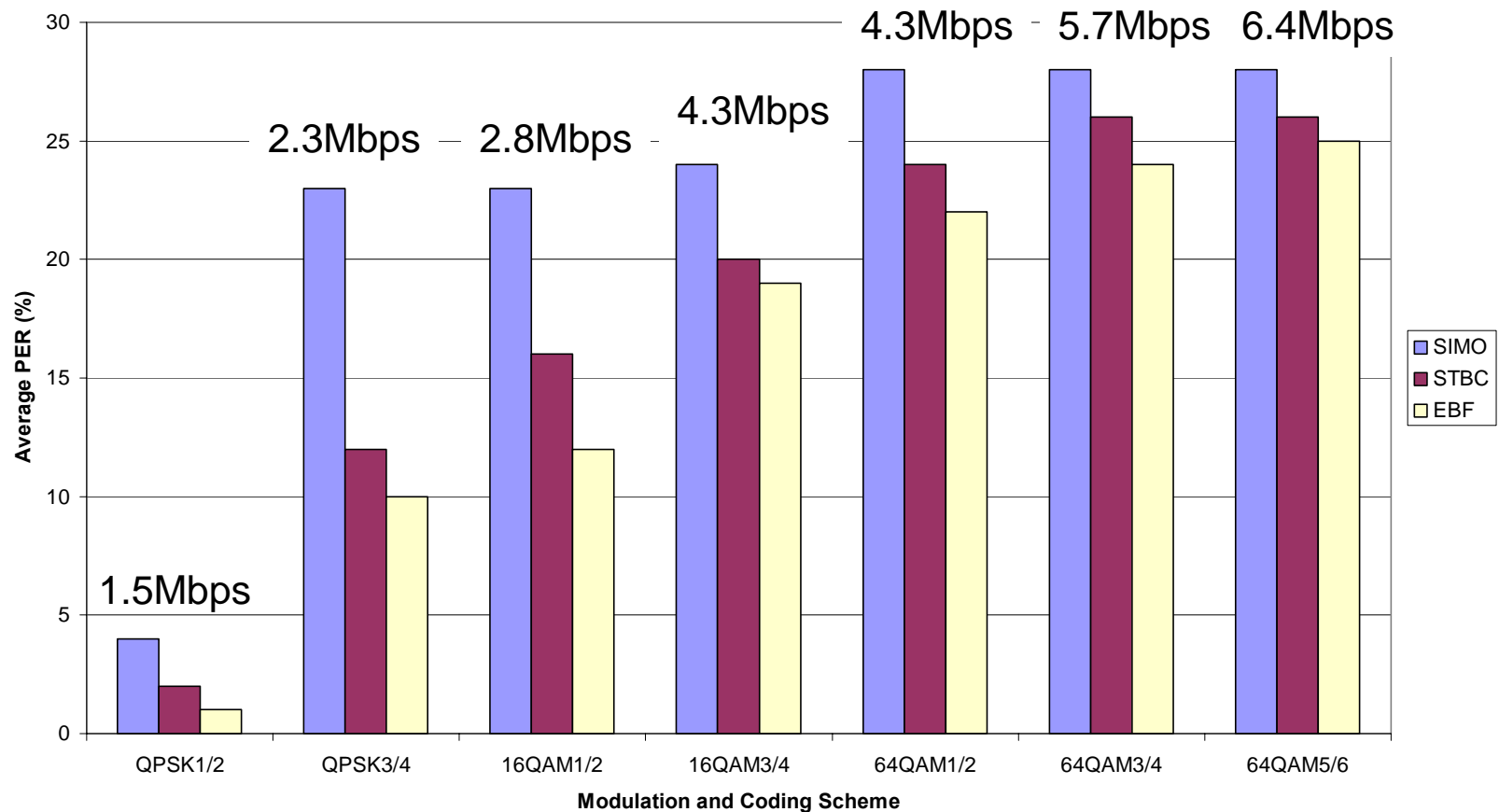
# 🔥 Measured WiMAX MIMO vs. SIMO (2)

- MIMO vs. SIMO measurements
  - Packet Error Rate (PER) graph shows that 2x2 STBC and 4x1 EBF (both diversity order 4) outperform 1x2 SIMO (diversity order 2).
  - EBF outperforms STBC due to array gains, theoretically by up to 6dB.
  - The EBF CINR plot shows a 3-5dB gain over the STBC case.
  - Plotting the average PER for the three schemes also shows that EBF > STBC > SIMO....



# 🔥 Measured WiMAX MIMO vs. SIMO (3)

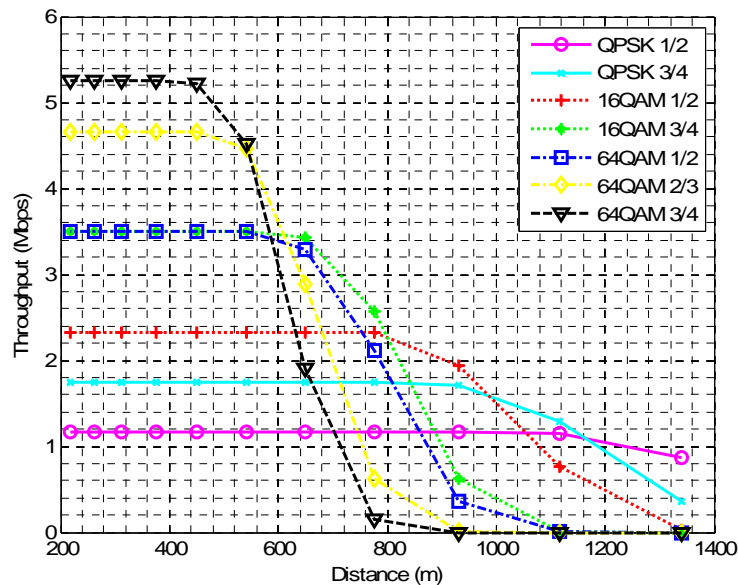
- Average raw PHY PER for SIMO, STBC and EBF



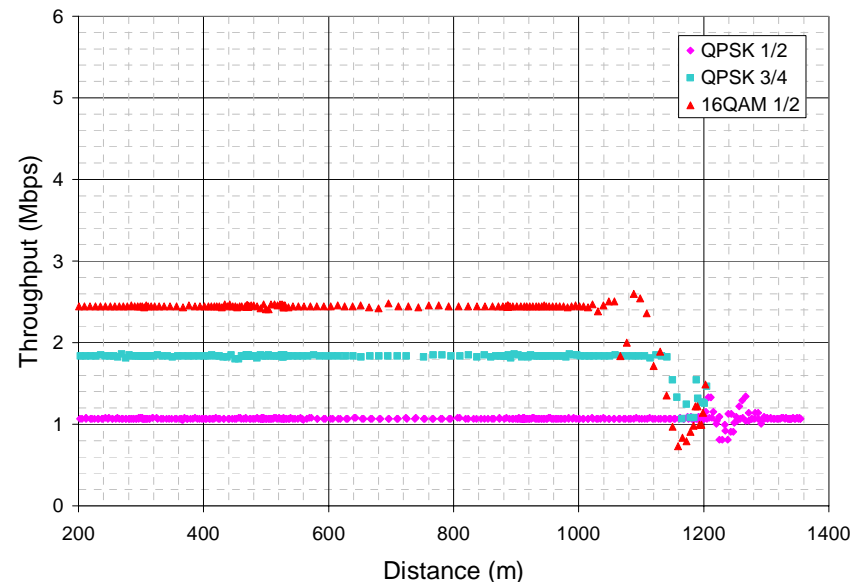
Average PER for SIMO, STBC and EBF for each MCS.

# WiMAX Simulator Validation

- All simulated Physical Layer (PHY) throughputs within 5% of those measured.
- Measured and simulated distances show close correlation.



Simulated tpout vs. distance (2x2 STBC).

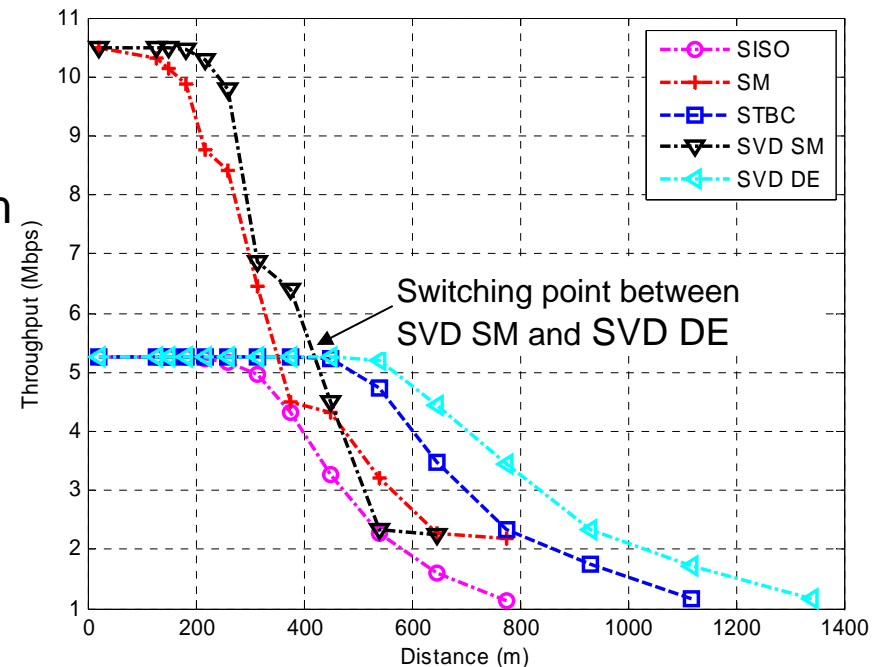


Measured tpout vs. distance (2x2 STBC).



# WiMAX Simulator (3)

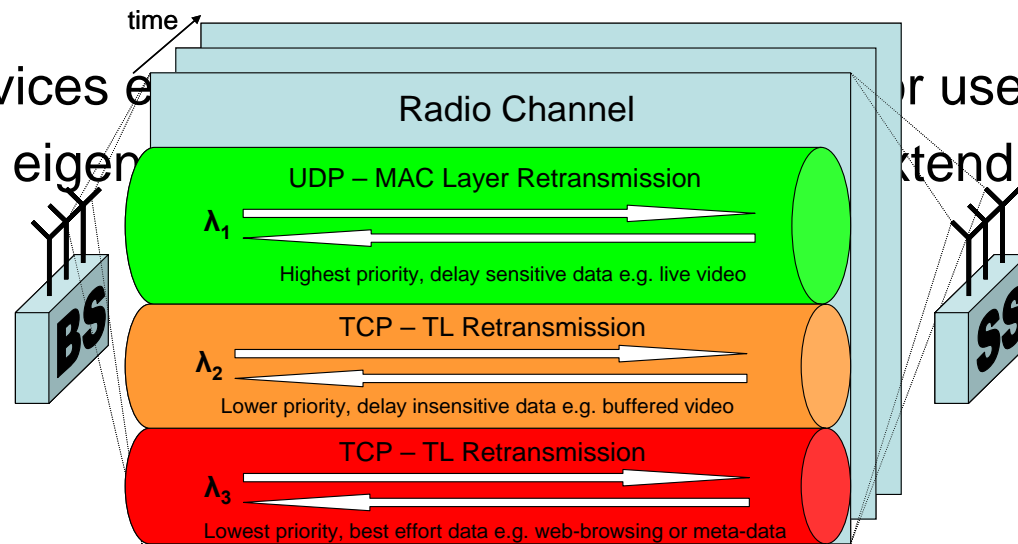
- Implementation of SM Eigen-beamforming extension
  - Uses Singular Value Decomposition (SVD).
  - Limited to maximum 10% PER to reflect application performance.
  - Compared SISO, SM MMSE, STBC, SM EBF (SVD SM) and dominant EBF (SVD DE).
  - SM EBF optimum < 420m (>19dB).
  - Dominant EBF optimum > 420m.
  - SVD increases the range from 780m in the single case and 1120m in the STBC case to 1340m.
  - Leads to very simple AMS strategy;
    - At high SNRs SM EBF is used to improve throughput.
    - At low SNRs dominant EBF is used to improve robustness.



Simulated max tput vs. distance for MIMO modes.

# 🔥 Eigen-beamforming Applications

- Scalar channels resulting from EBF are of varying power and quality.
- This disparity can be exploited to enhance multimedia - send higher priority data on the stronger eigen-channels and vice versa.
- Combination of intelligent channel-dependent scheduling and MIMO allows for optimisation between MAC, PHY, transport and application layers.
- Different services e.g. live video, buffered video, web-browsing or meta-data
- Use unequal eigen-channel ranges.

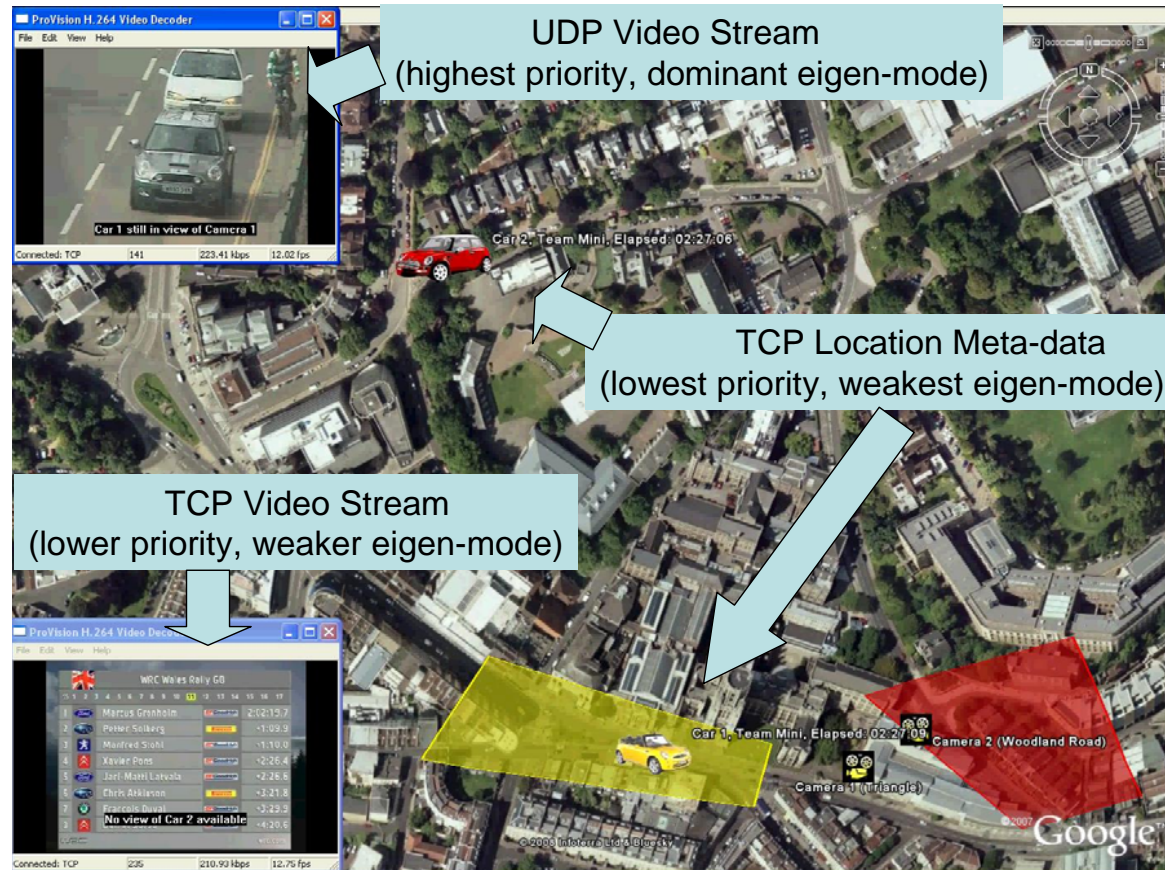


Intelligent scheduling with eigen-beamforming and 3x3 MIMO



# 🔥 Eigen-beamforming Applications (2)

- Next generation IP-centric user application



# Summary

- Real-world MIMO mobile WiMAX data collected and used to validate simulator.
- Advanced simulation shows EBF can give twice throughput at close range and extend range by 50%.
- Used intelligent scheduling to prioritise the allocation of services to suitable eigen-channels
- Unequal power distribution extends and equalises the service ranges.
- Combined this cross-layer interaction with EBF to create a novel, resource efficient, personalised user application.

An aerial photograph of the city of Bristol, showing a dense urban landscape with numerous buildings, streets, and green spaces. The city is viewed from a high angle, looking down towards the center.

Any Questions?

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# MIMO Extra

- EBF (Eigen-beamforming)
  - The channel impulse response experienced by the  $i$ th subcarrier is given by;  $H^i_{M \times N} = [H^i_{m,n}]_{M \times N}$
  - Where  $M$  and  $N$  are the number of receive and transmit antennas.
  - Using SVD this gives;  $H^i_{M \times N} = U_{M \times M} S_{M \times N} V_{N \times N}^H$
  - $U$  and  $V$  are unitary, singular vectors and  $H$  indicates the Hermitian process.  $S$  contains the eigen-values of the channel.
  - Pre-processing the transmitted signal using  $V$  and post-processing it using  $U^H$  yields;

$$U_{M \times N}^H U_{M \times N} S_{M \times N} V_{M \times N}^H V_{M \times N} = I \cdot S_{M \times N} \cdot I = S_{M \times N}$$

# 🔥 MIMO Extra (2)

- EBF with Water-filling

- Fills to a common level using;  $\frac{1}{\lambda_1} + P_1 = \frac{1}{\lambda_2} + P_2 = \frac{1}{\lambda_3} + P_3 = \dots = D$

- Where the following power constraint applies;  $\sum P_i = P$

- This increases the SISO capacity –  $C = \log_2(1 + P)$

to  $C = \sum_N \log_2(1 + \lambda_i P_i) = \sum_N \log_2(\lambda_i D)$

- Statistical EBF with short-term selection.

- The decomposition of the expectation of the covariance matrix is given by;  $R_{\tilde{H}_i} = E[\tilde{H}_i^H(t)\tilde{H}_i(t)] = E_i \Lambda_i E_i^H$

- Where  $i=0,1,2,\dots,S-1$ .